



TM

3/21/06

FEB 03 2006

AMENDMENT TRANSMITTAL

PATENT

Application No.: 09/994,982  
Filing Date: November 26, 2001  
First Named Inventor Xia Dai  
Examiner's Name: Yanchus III, Paul B.  
Art Unit: 2116  
Attorney Docket No.: 042390.P9724X

An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.

Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.

Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.

Applicant(s) claim small entity status (37 CFR 1.27).

ATTACHMENTS

Preliminary Amendment

Amendment/Response with respect to Office Action

Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)

Notice of Appeal

RCE (Request for Continued Examination)

Supplemental Declaration

Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)

Information Disclosure Statement (IDS)

Copies of IDS citations

Petition for Extension of Time

Fee Transmittal Document (that includes a fee calculation based on the type and number of claims)

Cross-Reference to Related Application(s)

Certified Copy of Priority Document

Other: \_\_\_\_\_

Other: \_\_\_\_\_

Check(s)

Postcard (Return Receipt)

SUBMITTED BY:

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Chui-ku Teresa Wong

SIGNATURE: C 22 J -

REG. NO.: 48,042

DATE: 1/21/2006

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450  
on 1/31/06

Date of Deposit

Vanessa Sanchez

Name of Person Mailing Correspondence

Vanessa Sanchez  
Signature

1/31/06

Date

Express Mail Label No. (if applicable): \_\_\_\_\_

(10/14/03)

Send to: COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450

## FEE TRANSMITTAL FOR FY 2005

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$) 250.00

Completest Known:

Application No. 09/994,982Filing Date November 26, 2001First Named Inventor Xia DaiExaminer Name Yanchus III, Paul B.Art Unit 2116Attorney Docket No. 042390.P9724X

Applicant claims small entity status. See 37 CFR 1.27.

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  None  Other (please identify)

## Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

 The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

 Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.

Charge fee(s) indicated below except for the filing fee

 Credit any overpayments. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Large Entity                | Small Entity | Fee Description | Fees Paid (\$)                     |
|-----------------------------|--------------|-----------------|------------------------------------|
| Fee                         | Fee          | Fee             |                                    |
| Code                        | Fee          | Code            |                                    |
| Fee                         | Code         | Fee             |                                    |
| 1011                        | 300          | 2011            | Utility application filing fee     |
| 1111                        | 500          | 2111            | 1,000/500                          |
| 1311                        | 200          | 2311            | Utility search fee                 |
|                             |              | 100             | Utility examination fee            |
| 1012                        | 200          | 2012            | Design application filing fee      |
| 1112                        | 100          | 2112            | 430/215                            |
| 1312                        | 130          | 2312            | Design search fee                  |
|                             |              | 65              | Design examination fee             |
| 1013                        | 200          | 2013            | Plant filing fee                   |
| 1113                        | 300          | 2113            | 660/330                            |
| 1313                        | 160          | 2313            | Plant search fee                   |
|                             |              | 80              | Plant examination fee              |
| 1004                        | 300          | 2004            | Reissue filing fee                 |
| 1114                        | 500          | 2114            | 1,400/700                          |
| 1314                        | 600          | 2314            | Reissue search fee                 |
|                             |              | 300             | Reissue examination fee            |
| 1005                        | 200          | 2005            | Provisional application filing fee |
|                             |              | 100             |                                    |
| SUBTOTAL (1) \$ <u>0.00</u> |              |                 |                                    |

**2. EXCESS CLAIM FEES**

| <u>Extra Claims</u>   |                     |          |          | <u>Fee from below</u>  | <u>Fees Paid (\$)</u> |
|---|---------------------|----------|----------|--|-----------------------|
| <b>Total Claims</b>   | <b>– 20 or HP =</b> |          |          | X \$50.00  | = _____               |
| HP = highest number of total claims paid for, if greater than 20      |                     |          |          |  |                       |
| <b>Independent Claims</b>   | <b>– 3 or HP =</b>  |          |          | X \$200.00   | = _____               |
| HP = highest number of independent claims paid for, if greater than 3 |                     |          |          |  |                       |
| <b>Multiple Dependent Claims</b>                                      |                     |          |          |  | = _____               |
| <b>Large Entity</b>   | <b>Small Entity</b> |          |          |  |                       |
| Fee Code  | Fee (\$)            | Fee Code | Fee (\$) | <u>Fee Description</u>   |                       |
| 1202  | 50                  | 2202     | 25       | Each claim over 20   |                       |
| 1201  | 200                 | 2201     | 100      | Each independent claim over 3                                    |                       |
| 1203  | 360                 | 2203     | 180      | Multiple dependent claims, if not paid                           |                       |
| 1204  | 200                 | 2204     | 100      | Reissue: each claim over 20 and more than in the original patent |                       |
| 1205  | 50                  | 2205     | 25       | Reissue: each independent claim more than in the original patent |                       |
| <b>SUBTOTAL (2) \$ _____</b>  |                     |          |          |  |                       |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u>                                   | <u>Number of each add'l 50 or fraction thereof</u> | <u>Fee from below</u> | <u>Fees paid (\$)</u> |
|---------------------|---|--|-----------------------|-----------------------|
| _____               | – 100 = _____ / 50 = _____ (round up to whole number) |  | X \$250.00            | _____                 |

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description:</u> Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec & drawings except sequences & program listings): |
|---------------------|---------------------|--|
| Fee Code            | Fee (\$)            | Fee Code (\$)  |
| 1081                | 250                 | 2081 125 Utility   |
| 1082                | 250                 | 2082 125 Design  |
| 1083                | 250                 | 2083 125 Plant   |
| 1084                | 250                 | 2084 125 Reissue   |

**SUBTOTAL (3) \$ \_\_\_\_\_**

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

| <u>Large Entity</u>  | <u>Small Entity</u> | <u>Fee Description</u> | <u>Fees Paid (\$)</u>   |
|--|---------------------|------------------------|---|
| <b>Non-English Specification, \$130 fee (no small entity discount)</b> |                     |                        |   |
| Fee Code   | Fee (\$)            | Fee Code (\$)          |   |
| 1051   | 130                 | 2051                   | 65 Surcharge - late filing fee or oath  |
| 1052   | 50                  | 2052                   | 25 Surcharge - late provisional filing fee or cover sheet                     |
| 1053   | 130                 | 1053                   | 130 Non-English specification   |
| 1812   | 2,520               | 1812                   | 2,520 For filing a request for ex parte reexamination                         |
| 1813   | 8,800               | 1813                   | 8,800 Request for inter parties reexamination                                 |
| 1804   | 920*                | 1804                   | 920* Requesting publication of SIR prior to Examiner action                   |
| 1805   | 1,840*              | 1805                   | 1,840* Requesting publication of SIR after Examiner action                    |
| 1251   | 120                 | 2251                   | 60 Extension for reply within first month                                     |
| 1252   | 450                 | 2252                   | 225 Extension for reply within second month                                   |
| 1253   | 1,020               | 2253                   | 510 Extension for reply within third month                                    |
| 1254   | 1,590               | 2254                   | 795 Extension for reply within fourth month                                   |
| 1255   | 2,160               | 2255                   | 1,080 Extension for reply within fifth month                                  |
| 1401   | 500                 | 2401                   | 250 Notice of Appeal  |
| 1402   | 500                 | 2402                   | 250 Filing a brief in support of an appeal                                    |
| 1403   | 1,000               | 2403                   | 500 Request for oral hearing  |
| 1451   | 1,510               | 1451                   | 1,510 Petition to institute a public use proceeding                           |
| 1452   | 500                 | 2452                   | 250 Petition to revive - unavoidable  |
| 1453   | 1,500               | 2453                   | 750 Petition to revive - unintentional  |
| 1501   | 1,400               | 2501                   | 700 Utility issue fee (or reissue)  |
| 1502   | 800                 | 2502                   | 400 Design issue fee  |
| 1503   | 1100                | 2503                   | 550 Plant issue fee   |
| 1462   | 400                 | 1462                   | 400 Petitions to the Commissioner (CFR 1.17(f) Group I)                       |
| 1463   | 200                 | 1463                   | 200 Petitions to the Commissioner (CFR 1.17(g) Group II)                      |
| 1464   | 130                 | 1464                   | 130 Petitions to the Commissioner (CFR 1.17(h) Group III)                     |
| 1807   | 50                  | 1807                   | 50 Processing fee under 37 CFR 1.17(q)  |
| 1806   | 180                 | 1806                   | 180 Submission of Information Disclosure Stmt                                 |
| 8021   | 40                  | 8021                   | 40 Recording each patent assignment per property (times number of properties) |
| 1809   | 790                 | 2809                   | 395 For filing a submission after final rejection (see 37 CFR 1.129(a))       |
| 1814   | 130                 | 2814                   | 65 Statutory Disclaimer   |
| 1810   | 790                 | 2810                   | 395 For each additional invention to be examined (see 37 CFR 1.129(b))        |
| 1801   | 790                 | 2801                   | 395 Request for Continued Examination (RCE)                                   |
| 1802   | 900                 | 1802                   | 900 Request for expedited examination of a design application                 |
| 1504   | 300                 | 1504                   | 300 Publication fee for early, voluntary, or normal pub.                      |
| 1505   | 300                 | 1505                   | 300 Publication fee for republication   |
| 1803   | 130                 | 1803                   | 130 Request for voluntary publication or republication                        |
| 1808   | 130                 | 1808                   | 130 Processing fee under 37 CFR 1.17(i) (except provisionals)                 |
| 1454   | 1,370               | 1454                   | 1,370 Acceptance of unintentionally delayed claim for priority                |
| Other fee (specify) _____  |                     |                        |   |
| Other fee (specify) _____  |                     |                        |   |
| <b>SUBTOTAL (4) \$ 250.00</b>  |                     |                        |   |

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Chui-ku Teresa WongSignature:  Date: 1/31/2006Reg. Number: 48,042 Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450